

#### Complaint data of Oaklane Capital Management LLP (Portfolio Manager)

#### SEBI Registration Number: INP000006624

| Sr. | Received from | Pending at | Received | Resolved* | Total     | Pending    | Average         |
|-----|---------------|------------|----------|-----------|-----------|------------|-----------------|
| No. |               | the end of |          |           | Pending # | complaints | Resolution      |
|     |               | last month |          |           |           | > 3 months | time^ (in days) |
| 1   | Directly from | Nil        | Nil      | NA        | Nil       | Nil        | NA              |
|     | Investors     |            |          |           |           |            |                 |
| 2   | SEBI          | Nil        | Nil      | NA        | Nil       | Nil        | NA              |
|     | (SCORES)      |            |          |           |           |            |                 |
| 3   | Other         | Nil        | Nil      | NA        | Nil       | Nil        | NA              |
|     | Sources (if   |            |          |           |           |            |                 |
|     | any)          |            |          |           |           |            |                 |
|     | Grand Total   | Nil        | Nil      | NA        | Nil       | Nil        | NA              |

#### Complaint details for the month ended: April 30, 2024

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

| Sr. No. | Month              | Carried forward | Received | Resolved* | Pending # |
|---------|--------------------|-----------------|----------|-----------|-----------|
|         |                    | from previous   |          |           |           |
|         |                    | month           |          |           |           |
| 1       | April 2023         | Nil             | Nil      | NA        | Nil       |
| 2       | May 2023           | Nil             | Nil      | NA        | Nil       |
| 3       | June 2023          | Nil             | Nil      | NA        | Nil       |
| 4       | July 2023          | Nil             | Nil      | NA        | Nil       |
| 5       | August 2023        | Nil             | Nil      | NA        | Nil       |
| 6       | September 2023     | Nil             | Nil      | NA        | Nil       |
| 7       | October 2023       | Nil             | Nil      | NA        | Nil       |
| 8       | November 2023      | Nil             | Nil      | NA        | Nil       |
| 9       | December 2023      | Nil             | Nil      | NA        | Nil       |
| 10      | January 2024       | Nil             | Nil      | NA        | Nil       |
| 11      | February 2024      | Nil             | Nil      | NA        | Nil       |
| 12      | March 2024         | Nil             | Nil      | NA        | Nil       |
| 13      | April 2024         | Nil             | Nil      | NA        | Nil       |
|         | <b>Grand Total</b> | Nil             | Nil      | NA        | Nil       |

## Trend of monthly disposal of complaints

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

| Trends of ann | ual disposal | of complaints |
|---------------|--------------|---------------|
|---------------|--------------|---------------|

| Sr. No. | Year               | Carried forward<br>from previous<br>year | Received | Resolved** | Pending## |
|---------|--------------------|--|----------|------------|-----------|
| 1       | 2018-2019          | NA*                                      | NA       | NA         | NA        |
| 2       | 2019-2020          | Nil                                      | Nil      | Nil        | Nil       |
| 3       | 2020-2021          | Nil                                      | Nil      | Nil        | Nil       |
| 4       | 2021-2022          | Nil                                      | Nil      | Nil        | Nil       |
| 5       | 2022-2023          | Nil                                      | Nil      | Nil        | Nil       |
| 6       | 2023-2024          | Nil                                      | Nil      | Nil        | Nil       |
| 7       | 2024-2025@         | Nil                                      | Nil      | Nil        | Nil       |
|         | <b>Grand Total</b> | Nil                                      | Nil      | Nil        | Nil       |

\* Oaklane Capital Management LLP received its portfolio manager registration in April 2019.

\*\* Inclusive of complaints of previous years resolved in the current year ## Inclusive of complaints pending as on the last day of the year.

(a) for the months of April 2024.

# **Option for Investors to directly lodge complaint with the Portfolio Manager**

The Investors can lodge complaint directly with the Portfolio Manager by directly sending an email to <u>compliance@oaklanecapital.com</u> / <u>shalaka.juvekar@oaklanecapital.com</u>

## **Option for Investors to directly lodge complaint with SCORES portal**

The Investors can lodge complaint directly with SCORES portal by accessing the portal through

https://scores.sebi.gov.in/scores-hoeme

## **Options for Investors to lodge complaint on SMART ODR portal**

SMART ODR (Securities Market Approach for Resolution Through ODR Portal) has been established by the seven Market Infrastructure Institutions (MII) together with ODR institutions to help investors access efficient dispute resolution fully online.

SMART ODR portal can be accessed at https://smartodr.in/login